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## **FACSIMILE TRANSMISSION**

Property	To a say -	PHONE No.:	
RECIPIENT:	FAX No.:		
Richard Schnizer, Examiner	703/872-9306		
Patent and Trademark Office			

FROM:

DATE:

Steven L. Highlander

USER ID:

SH01973

FLOOR:

10008931/IOWA:022

- 20

PHONE:

(512) 536-3184

January 13, 2004

FAX:

(512) 536-4598

Re:

Supplemental Information Disclosure Statement

Number of Pages with Cover Page:

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### **CAUTION - CONFIDENTIAL**

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STEVEN L. HIGHLANDER PARTNER SHIGHLANDER@FULBRIGHT.COM DIRECT DIAL:

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(\$12) 474-5201

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January 13, 2004

CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. § 1.8

I hereby certify that this correspondence is being transmitted to: Commissioner for Patents, Washington, D.C. 20231, Attn: Examiner Richard Schnizer, GAU 1635, facsimile number (703) 703/872-3406 on the date below:

January 13, 2004 Date

.. Highlander

Richard Schnizer, Examiner Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 09/448,613 entitled "METHODS AND COMPOSITIONS" FOR INCREASING THE INFECTIVITY OF GENE TRANSFER VECTORS" - Paul McCray et al.

Our reference: IOWA:022

Dear Examiner Schnizer:

After reviewing each of the Office Actions and the Notice of Allowability, it has come to our attention that the Supplemental Information Disclosure Statement, Form PTO-1449 and 6 references submitted on March 7, 2002, were never signed off by an Examiner.

For your convenience we have enclosed a copy of a Supplemental Information Disclosure Statement, Form PTO-1449 and the transmital letter that was filed with the Patent and Trademark Office (PTO) on March 7, 2002. Also enclosed is a copy of the PTO stamped postcard indicating the documents submitted to the PTO. The copies of the references have not been enclosed. Please let us know if we need to submit those as well.

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Commissioner for Patents January 13, 2004 Page 2

Please review and approve this Supplemental Information Disclosure Statement. If you need additional information, please let us know.

Thank you for your assistance in this matter.

Respectfully submitted,

Steven L. Highlander Reg. No. 37,642

SLH/mar Encl: as noted

## FULBRIGHT & JAWORSKI L.L.P.

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STEVEN L, HIGHLANDER PARTNER INTERNET ADDRESS; SHIGHLANDER@FULBRIGHT.COM

TELEPHONE: 512/474-5201 FACSIMILE: 512/536-4598

DIRECT DIAL: 512/536-3184

March 7, 2002

FILE: IOWA:022

10008931

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below.

March 7, 2002 Date

Steven L. Highlander

Commissioner for Patents Washington, DC 20231

RE:

SN 09/448,613 "METHODS AND COMPOSITIONS FOR INCREASING THE INFECTIVITY OF GENE TRANSFER VECTORS" - By Paul McCray et al.

#### Commissioner:

Enclosed for filing in the above-referenced patent application is:

- Amendment and Request for Reconsideration Under 37 C.F.R. §1.111 and Petition For Extension of Time;
- 2. A check for \$110.00 as the fee for the extension of time;
- 3. Supplemental Information Disclosure Statement; Form PTO-1449; References C100-C105;
- 4. A check for \$180.00 as the fee for the Supplemental Disclosure Statement; and
- 5. A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

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Commissioner for Patents March 7, 2002 Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski L.L.P. Account No.: 50-1212/10008931/SLH.

Respectfully submitted,

Steven L. Highlander Reg. No. 37,642

SLH/cpj Encl.: Commissioner for Patents March 7, 2002 Page 3

bcc:

Bruce Wheaton, Ph.D. (w/encl.)
Paul B. McCray, Jr., M.D. (w/encl.)
Zev Sunleaf, Ph.D. (w/encl.)
Anne Dollard, Esq. (w/encl.)

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Paul McCray et al.

Group Art Unit: 1632

**JAN 1 5** 2004

Serial No.: 09/448,613

Examiner: Schnizer, Richard A.

Atty. Dkt. No.: IOWA:022/SLH

Filed: November 22, 1999

For: METHODS AND COMPOSITIONS FOR INCREASING THE INFECTIVITY OF

GENE TRANSFER VECTORS

#### CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

March 6, 2002

Steven L. Highlander

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

25142197.1

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed herewith. If an appropriate check has not been enclosed, or if it is insufficient, the Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski Account No.: 50-1212/10008931/SLH.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Steven L. Highlander Reg. No. 37,642 Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

March 6, 2002

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Form PTO-1449 (modified)			Atty. Docket N IOWA:022/SI		Serial No. 09/448,613		
		ed Publications for ON DISCLOSURE S		Applicant Paul McCray		1	
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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
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	Other	Art (Includi	ng Autho	or, Title, Date	Pertir	ent Pa	ges, Etc.)
Exam. Init.	Ref. Des.	Citation					
	C100	Crystal, "Bad for cats, good for humans? Modified feline immunodeficiency virus for gene therapy," J. Clinical Investigation, 104(11):1491-1493, 1999.					
	C101	Johnson et al., "Efficiency of gene transfer for restoration of normal airway epithelial function in cystic fibrosis," Nature Genetics, 2:21-25, 1992.					
	C102	Lemarchand et al., "In vivo adenovirus-mediated gene transfer to lungs via pulmonary artery,"  J. Appl. Physiol., 76(6):2840-2845, 1994.					
	C103	Wang et al., "Feline immunodeficiency virus vectors persistently transduce nondividing airway epithelia and correct the cystic fibrosis defect," J. Clinical Investigation, 104:R55-R62, 1999.					
	C104	Wang et al., "Increasing epithelial junction permeability enhances gene transfer to airway epithelia In Vivo," Am. J. Respir. Cell Mol. Biol., 22:129-138, 2000.					
	C105	Zhou et al., "Correction of lethal intestinal defect in a mouse model of cystic fibrosis by human CFTR," Science, 266:1705-1708, 1994.					

25142057.1

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement — PTO-1449 (Modified)

Please indicate receipt of the below-identified paper:	
New Application For:	Priority Date:
Foreign priority already claimed	
Continuation CIP * *	Divisional CPA
Specification: Pages.	Drawings: Sheets
Response to Office Action Dated: November 27,	
Other: TRAN. LTR.; AMEND. AND REQ. FOR RECON. U \$110.00 CHECK; SUPPL. IDS; FORM PTO-1449; REF. C100-C1	105; \$180.00 CHECK AND POSTCARD.
Assignment Enclosed 💮 🖂 Cert. of Timely	y Mailing Exp. Mail: O
IDENTIFICATION C	OF APPLICATION WE
Serial No.: 09/448,613	
	G THE ENFECTIVITY OF GENE TRANSFER VECTORS
Applicant: PAUL MCCRAY, JR. ET AL.	Attorney: SLH
Client: UNIV. OF IOWA RESEARCH FOUNDATION	F&J File No.:
Mailed: Filed: 3/07/02	Due Date: 3/27/02

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